

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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BY 37 CFR 1.97*

APPLICANT: Roger Netterfield  
ASSESSMENT: Commonwealth Scientific and Industrial Research Organisation  
SERIAL NUMBER: 10/564,714 EXAMINER: Not Yet Assigned  
FILING DATE: January 12, 2006 ART UNIT: Not Yet Assigned  
FOR: **OPTICAL FILTER, AN OPTICAL INTERLEAVER AND ASSOCIATED METHODS OF MANUFACTURE**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby makes of record the documents listed on the enclosed Modified Form 1449/PTO (submitted in duplicate) in the above-identified application, which were cited in a Supplementary European Search Report, date of completion of search, November 27, 2006, corresponding to International Application No. PCT/AU2004000947. The order of presentation of the reference should not be construed as an indication of the importance of the reference.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the above-identified case. Accordingly, no fee or certification is required. 37 C.F.R. §1.97.

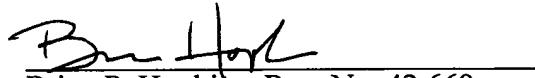
Under 37 CFR § 1.98 (a)(2)(i), copies of the cited U.S. patents and U.S. publications are not enclosed. It is respectfully requested that the Examiner consider the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and signs the enclosed Modified Form 1449/PTO to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that: (1) more relevant information does not exist; (2) the information cited in the

Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested. Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. **50-0311**, Reference No. **34046-501-059**, Customer No. **35437**.

Respectfully submitted,

  
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Dated: March 1, 2007

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PTO/SB (12-97)  
OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Modified Form 1449/PTO	Application Number	10/564,714
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>1 P E WAS R 0 1 2007</i>	Filing Date	January 12, 2006
	First Named Inventor	Roger Netterfield
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
(use as many sheets as necessary)	Attorney Docket Number	34046-501-059

FOREIGN PATENT DOCUMENTS					
Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	Translation Yes No

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	C1	Copy of Supplementary European Search Report, PCT Appl. No. PCT/AU2004000947

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.